



MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 21st September, 2016

TIME: 3.00 pm

VENUE: Birkdale Room, Town Hall, Southport

Member

Councillor
Councillor Brennan (Chair)
Councillor Roche (Vice-Chair)
Councillor Ashton
Councillor Bennett
Councillor Bliss
Councillor Kevin Cluskey
Councillor McGinnity
Councillor Moncur
Councillor Sayers
Councillor Shaw

Substitute

Councillor
Councillor McKinley
Councillor Roscoe
Councillor Daniel Lewis
Councillor McCann
Councillor Dutton
Councillor Bradshaw
Councillor Robinson
Councillor Linda Cluskey
Councillor Dams
Councillor Hands

COMMITTEE OFFICER: Debbie Campbell
Senior Democratic Services Officer
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If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

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A G E N D A

1. **Apologies for absence**
2. **Declarations of Interest**

Members are requested to give notice of any personal or prejudicial interest and the nature of that interest, relating to any item on the agenda, in accordance with the Members Code of Conduct.
3. **Minutes** (Pages 5 - 8)

Minutes of the meeting held on 29 June 2016.
4. **Audit Findings**

Report of the Council's External Auditors – Ernst and Young LLP. To Follow.
5. **Local Government Sector Update** (Pages 9 - 18)

Report of the Council's External Auditors – Ernst and Young LLP.
6. **Annual Audit and Certification Fees 2016/17** (Pages 19 - 22)

Letter submitted by the Council's External Auditors – Ernst and Young LLP.
7. **Adult Social Care and Public Health Complaints Annual Report 2014/15** (Pages 23 - 44)

Report of the Head of Commissioning Support and Business Intelligence.
8. **Information Management** (Pages 45 - 58)

Report of the Head of Regulation and Compliance.
9. **Local Government Ombudsman Complaints 2015/16** (Pages 59 - 70)

Report of the Head of Regulation and Compliance.
10. **Standards Regime in Sefton 2015/16** (Pages 71 - 80)

Report of the Head of Regulation and Compliance.
11. **Vexatious or Unfocussed Complaints and Data Requests** (Pages 81 - 84)

Report of the Head of Regulation and Compliance.

12. Statement of Accounts 2015/16

Report of the Chief Finance Officer. To Follow.

13. Treasury and Capital Activity - Outturn 2015/16

(Pages 85 -
98)

Report of the Head of Corporate Resources.

14. Risk and Audit Service Performance Report

(Pages 99 -
126)

Report of the Head of Corporate Resources.

15. Exclusion of Press and Public

The following report is *not* Exempt/Confidential, but include appendices which contain exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A under Section 100A(4) of the Local Government Act 1972. Namely,

- Item 8 – Appendices 1, 2, 3 & 4

Members are therefore requested to indicate whether or not they wish to discuss any matters referred to in the exempt appendices and accordingly, consider passing the following resolution:

That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for any item of business which might involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.

16. Revenue & Benefit Service - Write off of Irrecoverable Debts with Balances over £10,000

(Pages 127 -
144)

Report of the Chief Finance Officer.